

Northumberland, Tyne and Wear NHS Foundation Trust

Board of Directors Meeting

Meeting Date: 27 September 2017.

Title and Author of Paper:

Emergency Preparedness, Resilience and Response (EPRR) Assurances.
Andy Hindhaugh, Resilience Lead

Executive Lead: Gary O'Hare

Paper for Debate, Decision or Information: Information

Key Points to Note:

- NHS England undertakes an annual assurance process against a set of core standards for Emergency Preparedness, Resilience and Response (EPRR).
- The assurance process for 2017-18 was received on 14 July, with the self-assessment and statement of compliance returned to NHS England by 25 August. It is a requirement of the assurance process that the statement of compliance is reported to the Board of Directors.
- Of the 52 Core Standards, there is one standard that the Trust is not fully compliant: Director level attendance at the Local Health Resilience Partnership.
- There are two standards relating to Hazardous Materials incidents which do not yet have full compliance.
- In view of these three areas, the Trust is able to report a Substantial level of compliance. Actions to achieve full compliance with the standards have been added to the EPRR workplan for 2017-18, to be managed by the Strategic EPRR Group.
- An additional set of Governance standards have been added to the 2017-18 assurance process. These standards are considered to be good practice and are not part of the compliance rating. The two areas that have been identified as not being fully compliant have also been added to the EPRR workplan for 2017-18.
- A moderation session was hosted by NHS England on 5 September to review submissions and share good practice. No issues relating to the submission by NTW were raised. The final submission will be presented to the North East Local Health Resilience Partnership on 19 October, before a national consolidation process takes place.

Risks Highlighted to Board: None.

Does this affect any Board Assurance Framework/Corporate Risks? No

Please state Yes or No If Yes please outline

Equal Opportunities, Legal and Other Implications: None

Outcome Required: Approval of the Statement of Compliance and noting the actions identified to achieve full compliance with the EPRR Core Standards 2017-18.

Link to Policies and Strategies: NTW(O) 08 - Emergency Preparedness, Resilience and Response Policy

Background

The NHS needs to plan for, and respond to, a wide range of incidents and emergencies that could affect health or patient care. These could be anything from extreme weather conditions to an outbreak of an infectious disease or a major transport accident.

The NHS England Core Standards for Emergency Preparedness, Resilience and Response (EPRR) set out the minimum EPRR standards which NHS organisations and providers of NHS-funded care must meet. The Core Standards for 2017/18 were received on 14 July and a self-assessment was required to be undertaken and returned to NHS England by 25 August.

Organisations are expected to state an overall assurance rating as to whether they are Fully, Substantially, Partially or Non-Compliant with the NHS EPRR Core Standards:

Full: Fully compliant with all core standards that the organisation is expected to achieve

Substantial: Not fully compliant with one to five of the core standards

Partial: Not fully compliant with six to ten of the core standards

Non-Compliant: Not fully compliant with 11 or more core standards

It is also a requirement of the assurance process that the statement of compliance is reported to the Board of Directors.

Self-Assessment

There are 52 core standards questions of which 41 apply to Mental Health care providers. The Trust is fully compliant with 40 of these standards.

The Hazardous Materials set of standards is made of 14 questions, of which seven are applicable to Mental Health care providers. The Trust is fully compliant with five of these standards.

Six additional questions have been included in the 2017/18 Core Standards focusing on governance arrangements for EPRR. These are not to be included in the organisational compliance rating. The Trust is compliant with four of the six standards.

An action plan is in place for all areas that do not have full compliance, including the governance deep dive and is attached as Appendix A. The Strategic EPRR Group will monitor progress of the actions identified and an update will be provided in the EPRR Annual Report 2017/18.

A copy of the full self-assessment and Statement of Compliance was returned to NHS England on 24 August and the Trust attended a moderation session with NHS England on 5 September to review submissions made by all NHS commissioners and providers across Cumbria and the North East. No issues were raised with the submission made by NTW.

The NHS EPRR assurance process concludes with a submission to the NHS England Board in March 2018. Once this has been accepted by the Board, NHS England will be in a position to provide national EPRR assurance for 2017/18 to the Department of Health and the Secretary of State for Health.

Appendix A - Emergency Preparedness, Resilience and Response (EPRR) Core Standards 2017/18 Action Plan

Section	Core Standard	Action Required	Lead	Timescale
Core Standards	Arrangements are in place to ensure attendance at all Local Health Resilience Partnership meetings at a Director level	Director of Nursing and Operations to attend LHRP meetings.	Gary O'Hare	July 2018
Hazardous Materials	There is an accurate inventory of equipment required for decontaminating patients in place and the organisation holds appropriate equipment to ensure safe decontamination of patients and protection of staff.	Hazardous incidents response boxes to be provided to each main reception.	Andy Hindhaugh	July 2018
Hazardous Materials	Internal training is based upon current good practice and uses material that has been supplied as appropriate.	Training records to be maintained.	Andy Hindhaugh	July 2018
Governance	The organisation has published the results of the 2016/17 NHS EPRR assurance process in their annual report.	Results of EPRR Core Standards assessment to be included in the annual report for 2017/18	Andy Hindhaugh/ Caroline Wild	July 2018
Governance	The organisation's Accountable Emergency Officer has attended 75% of Local Health Resilience Partnership meetings within the last 12 months.	Director of Nursing and Operations to attend LHRP meetings.	Gary O'Hare	July 2018

Emergency Preparedness, Resilience and Response (EPRR) Assurance 2017-18

STATEMENT OF COMPLIANCE

Northumberland, Tyne and Wear NHS Foundation Trust has undertaken a self-assessment against the NHS England Core Standards for EPRR (v5.0).

Following self-assessment, and in line with the definitions of compliance stated below, the organisation declares itself as demonstrating the following level of compliance against the 2017-18 standards: **Substantial**

(click on choose an item above and select level)

Compliance Level	Evaluation and Testing Conclusion
Full	Arrangements are in place the organisation is fully compliant with all core standards that the organisation is expected to achieve. The Board has agreed with this position statement.
Substantial	Arrangements are in place however the organisation is not fully compliant with one to five of the core standards that the organisation is expected to achieve. A work plan is in place that the Board has agreed
Partial	Arrangements are in place however the organisation is not fully compliant with six to ten of the core standards that the organisation is expected to achieve. A work plan is in place that the Board has agreed.
Non-compliant	Arrangements in place do not appropriately address 11 or more core standards that the organisation is expected to achieve. A work plan has been agreed by the Board and will be monitored on a quarterly basis in order to demonstrate future compliance.

Where areas require further action, this is detailed in the organisations *EPRR Work Plan* and will be reviewed in line with the organisation's governance arrangements.

I confirm that the above level of compliance with the EPRR Core Standards has been or will be confirmed to the organisation's board / governing body.



Signed by the organisation's Accountable Emergency Officer

(Click in cell below and select date)

27/09/2017

Date of board / governing body meeting

(Click in cell below and select date)

21/08/2017

Date signed