

Northumberland, Tyne and Wear NHS Foundation Trust

Board of Directors Meeting

Meeting Date: 25 May 2016

Title and Author of Paper: NTW Quality Account 2015-16
Lisa Quinn, Executive Director of Commissioning & Quality Assurance

Executive Lead:

Paper for Debate, Decision or Information: Decision

Key Points to Note:

- To approve the content of the Quality Account 2015-16
- To approve the Statement of Directors' Responsibilities to be approved (Appendix 1 of this paper and Appendix 4 of the Quality Account document)
- The final version of the document will be circulated via the following weblink <http://www.ntw.nhs.uk/section.php?l=1&p=1427> to Board members after the May meeting of the Audit Committee has concluded (to be held 18th May 2016).

Risks Highlighted to Board :

None

Does this affect any Board Assurance Framework/Corporate Risks?

No

Equal Opportunities, Legal and Other Implications: None

Outcome Required: The Board of Directors is requested to approve:

- the content of the Quality Account 2015-16,
- the Statement of Directors' responsibilities

Link to Policies and Strategies:

BOARD OF DIRECTORS MEETING

25 May 2016

Quality Account 2015-16

PURPOSE

The purpose of this paper is:

- to present the Board of Directors with the Quality Account 2015-16 (to be known as “Quality Report” within the Annual Report),
- to present the Statement of Directors’ Responsibilities in respect of the content of the 2015-16 Quality Report for inclusion in the published 2015-16 Quality Report within the annual report, and
- to present the Statement of Directors’ Responsibilities in respect of the 2015-16 performance indicators included in the Quality Report to provide to auditors.

BACKGROUND

The 2015-16 Northumberland, Tyne & Wear NHS Foundation Trust Quality Account will be submitted to NHS Improvement on 27th May 2016 and uploaded to the NHS Choices website by 30th June 2015 in line with national requirements.

The 2016-17 Quality Priorities were approved by the Trust Board in March 2016 and are included within the Quality Account.

The working drafts of the Quality Account have been shared widely both internally (with the Corporate Decisions Team, the Audit Committee, Trust Quality & Performance Committee and the Council of Governors) and externally (with CCG’s, Health Overview & Scrutiny Committees, Local Healthwatch and external audit).

Comments received from local partners will be included in the published Quality Account, and any comments from other localities/partners will be published alongside the document on the NTW website.

EXTERNAL ASSURANCE

The content of the Quality Account has been audited to ensure it complies with legislation and NHS Improvement requirements, additionally 3 performance indicators have also audited to assess the accuracy of the systems and processes used to report performance information.

A limited assurance audit report is to be presented to the Audit Committee on 18th May 2016.

STATEMENTS OF DIRECTORS' RESPONSIBILITIES

A statement of directors' responsibilities in relation to the Quality Account/Report is required:

- in respect of the content of the 2015-16 Quality Report for inclusion in the published 2015-16 Quality Report within the annual report
- in respect of the 2015-16 performance indicators included in the Quality Report to be provided to auditors (but not included within the annual report)

This statement (to cover **both** of the above requirements) is included in Appendix 1 of this paper and at Appendix 4 of the Quality Account document.

In considering the draft statement the Board of Directors are asked to refer to the Integrated Performance and Assurance Report, Annual Governance Statement and Annual Plan information.

The Board of Directors are asked to approve this statement.

RECOMMENDATIONS

The Board of Directors are asked to:

1. Approve the 2015-16 Quality Account
2. Approve the Statement of Directors' Responsibilities:
 - a. in respect of the content of the 2015-16 Quality Report
 - b. in respect of the 2015-16 performance indicators included in the Quality Report.

Lisa Quinn

Executive Director of Commissioning & Quality Assurance

May 2016

Appendix 1

Statement of Directors' Responsibilities in respect of the Quality Report

The Directors are required under the Health Act 2009 and the National Health Service (Quality Accounts) Regulations to prepare Quality Accounts for each financial year.

Monitor has issued guidance to NHS foundation trust boards on the form and content of annual quality reports (which incorporate the above legal requirements) and on the arrangements that foundation trust boards should put in place to support the data quality for the preparation of the Quality Report.

In preparing the Quality Report, directors are required to take steps to satisfy themselves that:

- the content of the Quality Report meets the requirements set out in the NHS Foundation Trust Annual Reporting Manual 2015-16;
- the content of the Quality Report is not inconsistent with internal and external sources of information including:
 - Board minutes and papers for the period April 2015 to May 2016
 - papers relating to Quality reported to the Board over the period April 2015 to May 2016
 - feedback from Commissioners dated May 2016
 - feedback from governors dated May 2016
 - feedback from Local Healthwatch organisations dated May 2016
 - feedback from Overview and Scrutiny Committee dated May 2016
 - the Trusts complaints information presented to the Board that has not yet been published under regulation 18 of the Local Authority, Social Services and NHS Complaints Regulations 2009, dated May 2016
 - the 2015 national patient survey
 - the 2015 national staff survey
 - the Head of Internal Audit's annual opinion over the trust's control environment dated May 2016
 - CQC Intelligent Monitoring Report dated February 2016

- the Quality Report presents a balanced picture of the NHS foundation trust's performance over the period covered;
- the performance information in the Quality Report is reliable and accurate;
- there are proper internal controls over the collection and reporting of the measures of performance included in the Quality Report; and these controls are subject to review to confirm that they are working effectively in practice;
- the data underpinning the measures of performance reported in the Quality Report is robust and reliable, conforms to specified data quality standards and prescribed definitions, is subject to appropriate scrutiny and review; and
- the Quality Report has been prepared in accordance with Monitor's annual reporting guidance (which incorporates the Quality Accounts Regulations published at www.monitor.gov.uk/annualreportingmanual) as well as the standards to support data quality for the preparation of the Quality Report (available at www.monitor.gov.uk/annualreportingmanual).

The directors confirm to the best of their knowledge and belief that they have complied with the above requirements in preparing the Quality Report.

By order of the Board

25th May 2016



Hugh Morgan-Williams

Chairman

25th May 2016



John Lawlor

Chief Executive